Attorney Docket No. 5051-660

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Burkholder et al.

Application Serial No.: Filed Concurrently Herewith

Filed: Concurrently Herewith

For: WATER SOLUBLE TOXIN PRODUCED BY PHIESTERIA SPECIES

Date: April 1, 2005

Mailstop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

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Substitute form 1449A/PTO				•	Plete if known 1 APR 2005
				Application Number	Filed Concurrently Herewith
<b>INFORM</b>	ATION DISCL	OSURE	•	Filing Date	Filed Concurrently Herewith
STATEMENT BY APPLICANT				First Named Inventor	JoAnn Burkholder
(use as many sheets as necessary)				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	Α	of	À	Attorney Docket Number	5051-660

U.S. PATENTS AND PATENT PUBLICATIONS							
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited  Document	Date of Publication of Cited Document		
		Number	Kind Code (if known)	Document	MM-DD-YYYY		
	1.	US-6,261,848		Anderson et al.	07/17/2001		
	2.	US-6,391,558		Henkens et al.	05/21/2002		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of	Translation
		Office	Number	Kind Code (if known)	- Document	Publication of Cited Document MM-DD-YYYY	
	3.	PCT	WO 0010577		Fuisz et al.	03/02/2000	
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		OTHER NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	4.	Baden et al.; "Crystallization and Toxicology of T34: A Major Toxin from Florida's Red Tide Organism (Ptychodiscus Brevis)" <i>Toxicon</i> <b>19</b> :4 455-462 (1981).	
	5.	Burkholder et al.; "History of Toxic Pfiesteria in North Carolina Estuaries from 1991 to Present" BioScience 51:10 827-841 (2001).	
	6.	Fairey et al.; "Reporter Gene Assay for Fish-Killing Activity Produced by <i>Pfiesteria piscicida</i> " Environmental Health Perspectives 107:9 711-714 (1999).	
	7.	Glasgow et al.; "A second species of icthyotoxic <i>Pfiesteria</i> (Dinamoebales, Dinophyceae)" <i>Phycologia</i> <b>40</b> :3 234-245 (2001).	
	8.	Kimm-Brinson et al.; "Identification of a P2X7 Receptor in GH4C1 Rat Pituitary Cells: A Potential Target for a Bioactive Substance Produced by <i>Pfiesteria piscicida</i> " <i>Environmental Health Perspectives</i> <b>109</b> :5 457-462 (2001).	
	9.	Edward D. Levin; "A Rat Model of the Cognitive Impairment from <i>Pfiesteria piscicida</i> Exposure" Environmental Health Perspectives 109:5 757-753 (2001).	
	10.	Melo et al.; "Microfluorimetric Analysis of a Purinergic Receptor (P2X <sub>7</sub> ) in GH <sub>4</sub> C <sub>1</sub> Rat Pituitary Cells: Effects of a Bioactive Substance Produced by <i>Pfiesteria piscicida</i> " <i>Environmental Health Perspectives</i> <b>109</b> :5 731-737 (2001).	
	11.	Moeller et al.; "Current Progress in Isolation and Characterization of Toxins Isolated from <i>Pfiesteria piscicida</i> " <i>Environmental Health Perspectives</i> <b>109</b> :5 739-743 (2001).	
	12.	Rezvani et al.; "Specificity of cognitive impairment from <i>Pfiesteria piscicida</i> exposure in rats Attention and visual function versus behavior plasticity" <i>Neurotoxicology and Teratology</i> 23 609-616 (2001).	
	13.	International Search Report for PCT/US0333637; Date of Mailing August 18, 2004.	

Examiner Signature	 Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.